Express Mail #EV809572016US Patent

Attorney Docket # 5367-249PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Simon BLÜMEL

Int'l PCT Serial No.: PCT/DE2004/002385

Int'l Filing Date::

October 26, 2004

For:

Optoelectronic Module, and Method for the

Production Thereof

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

1AP20 Re30 29 JUN 2006

Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE LLP

Thomas Langer

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Dated: June 29, 2006

AP20 RECULTION 29 JUN 2006,04-03

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449/PTO				Complete if Known		
				Application Number	10/5851/5	
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ľ	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	Simon BLÜMEL	
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Sheet	1	of	1	Attorney Docket	5367-249PUS	
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U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
	_	Number-Kind-Code 2 (if known)			Figures Appear		
		US-5,153,734 A	10/06/1992	Kanamori et al.			
		US-6,627,872 B1	09/30/2003	Fukamura et al.			
		US-4,168,102 A	09/18/1979	Chida et al.			
		US-5,920,120 A	07/06/1999	Webb et al.			
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	T ⁶		
Initials*	No.	Country Code ³⁻ Number ⁴⁻ Kind-Code ⁵ (if known	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
		JP 61 214566 A	09/24/1986	Toshiba Corp.				
		JP 10 222080 A	11/30/1998	Nippon Columbia Co.				
				Ltd.				

Examiner Signature	Date Considered		· · · ·	-

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

IAPZORSZ'GPGT/PTO 29 JUN 2006

By Express Mail # EV809572016US · June 29, 2006 **Attorney Docket # 5367-249PUS**

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed non-U.S. documents are enclosed.

USP 5,040,868 corresponds to EP 1 022 787 A1.

USP 4,695,871 corresponds to DE 33 31 451 C2.

USP 2003/0185526 A1 corresponds to DE 100 23 353 A1.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

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14P20 RESTER 1770 29 JUN 2006

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If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

> Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE LLP

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Dated: June 29, 2006

IAP20 Rec'd PUTIFTO 29 JUN. 2006.4-03

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Substitute for Form 1449/PTO	Complete if Known		
	Application Number	10 /505175	
INFORMATION DISCLOSURE	Filing Date	10/5851 75	
STATEMENT BY APPLICANT	First Named Inventor	Simon BLÜMEL	
(Use as many sheets as necessary)	Art Unit		
(Ose as many sheets as necessary)	Examiner Name		
Sheet 1 of 1	Attorney Docket Number	5367-249PUS	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind-Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-5,040,868	08/20/1991	Waitl et al.			
		US-4,695,871	09/22/1987	Tsunoda et al.			
		US-2003/0185526 A1	10/02/2003	Höhn et al.			
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind-Code ⁵ (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		EP 1 022 787 A1	05/31/1989	Waitl et al.		
		DE 33 31 451 C2	01/24/1991	Tsunoda et al.		
		DE 100 23 353 A1	11/29/2001	Sorg et al.		
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